

#### **Contrastive Cross-Domain Sequential Recommendation**

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https://github.com/cjx96/C2DSR







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#### **1.Introduction**

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## Introduction

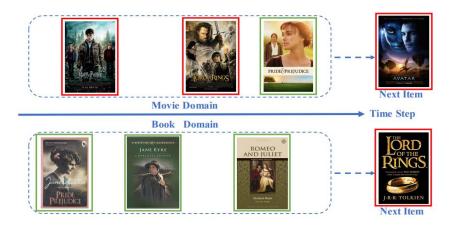


Figure 1: Illustration of user's sequential interactions in Movie and Book domains. Movie or book surrounded by the same color reflects similar user preference, where the green/red represent the "Romance"/"Fantasy" preferences.

- Simply transferring the biased single-domain preference can be intractable to describe precise cross-domain user preference, which would easily lead to unstable and sub-optimal recommendation results.
- 2. previous CDSR works ignore the inter-sequence relationship of items, which provides valuable collaborative signal to generate better user representation.

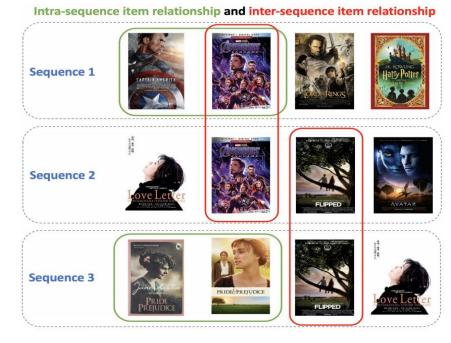


Figure 2: A toy illustration of item relationships. The green boxes reflect the sequential pattern signal of intra-sequence item relationships. The red boxes reflect the collaborative signal of inter-sequence item relationships.





#### Intra-sequence item relationship and inter-sequence item relationship



Figure 2: A toy illustration of item relationships. The green boxes reflect the sequential pattern signal of intra-sequence item relationships. The red boxes reflect the collaborative signal of inter-sequence item relationships.



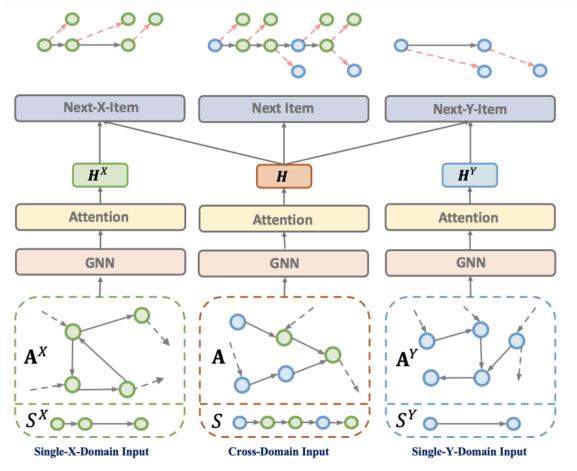
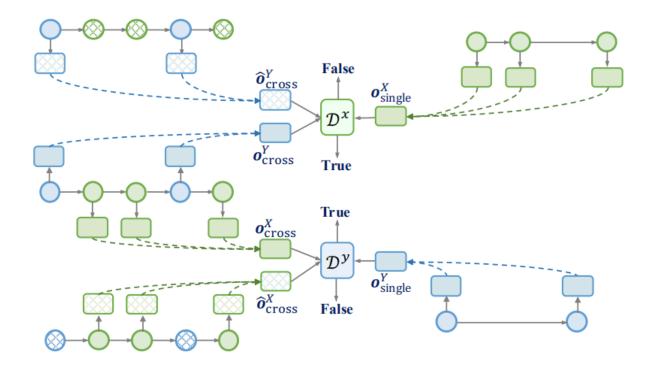


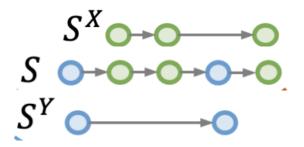
Figure 3: A toy example of sequential training objective for CDSR. The red dotted lines indicate the next prediction item.





#### Preliminaries

interaction sequence involves two domains, namely domain *X* and domain *Y*.  $(S^X, S^Y, S)_u \in S$  belongs to a certain user u.  $S^X = [x_1, x_2, \dots, x_{|S^X|}]$  and  $S^Y = [y_1, y_2, \dots, y_{|S^Y|}]$  $S = [y_1, x_1, x_2, \dots, y_{|S^Y|}, \dots, x_{|S^X|}]$  $\mathbf{A}^{X} \in \{0,1\}^{|\mathcal{X}| \times |\mathcal{X}|}, \mathbf{A}^{Y} \in \{0,1\}^{|\mathcal{Y}| \times |\mathcal{Y}|}, \mathbf{A} \in \{0,1\}^{(|\mathcal{X}| + |\mathcal{Y}|) \times (|\mathcal{X}| + |\mathcal{Y}|)}$ where  $A_{ii}^X = 1$  if  $x_j$  is the one next item of  $x_i$ ,  $\operatorname{argmax}_{x_i \in \mathcal{X}} \mathbb{P}^X \left( x_i | S^X, S^Y, S \right)$ , if next item  $\in \mathcal{X}$ (1) $\operatorname{argmax}_{y_i \in \mathcal{Y}} \mathbb{P}^Y \left( y_j | S^X, S^Y, S \right)$ , if next item  $\in \mathcal{Y}$ 





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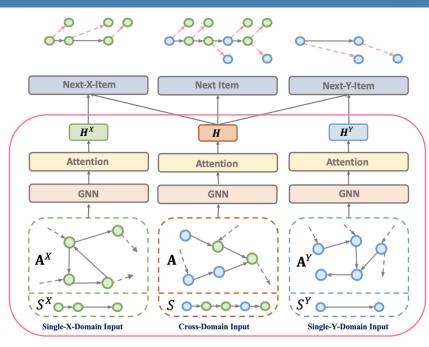


Figure 3: A toy example of sequential training objective for CDSR. The red dotted lines indicate the next prediction item.

#### Method

$$\mathbf{E}^{X} \in \mathbb{R}^{|\mathcal{X}| \times d}, \quad \mathbf{E}^{Y} \in \mathbb{R}^{|\mathcal{Y}| \times d} \quad \mathbf{E} \in \mathbb{R}^{(|\mathcal{X}| + |\mathcal{Y}|) \times d}, \quad \mathbf{T} \in \mathbb{R}^{M \times d}$$
$$\mathbf{G}_{0}^{X} = \mathbf{E}^{X}, \mathbf{G}_{0}^{Y} = \mathbf{E}^{Y}, \mathbf{G}_{0} = \mathbf{E},$$

$$\mathbf{G}_1^X = \operatorname{Norm}(\mathbf{A}^X)\mathbf{G}_0^X, \quad \mathbf{G}_1^Y = \operatorname{Norm}(\mathbf{A}^Y)\mathbf{G}_0^Y, \quad \mathbf{G}_1 = \operatorname{Norm}(\mathbf{A})\mathbf{G}_0, \quad (2)$$

 $\texttt{Norm}(\cdot)$  denote the row-normalized function

$$\mathbf{G}^{X} = \operatorname{Mean}(\mathbf{G}_{l}^{X}) + \mathbf{E}^{X}, \mathbf{G}^{Y} = \operatorname{Mean}(\mathbf{G}_{l}^{Y}) + \mathbf{E}^{Y}, \mathbf{G} = \operatorname{Mean}(\mathbf{G}_{l}) + \mathbf{E}.$$
 (3)

$$S^X = [\langle pad \rangle, x_1, x_2, \langle pad \rangle, x_3]$$
  $S^Y = [y_1, \langle pad \rangle, \langle pad \rangle, y_2, \langle pad \rangle]$   $S = [y_1, x_1, x_2, y_2, x_3]$ 

$$\begin{split} \boldsymbol{H}^{X} &= \texttt{AttEncoder}^{X}(S^{X}, \mathbf{G}^{X}), \quad \boldsymbol{H}^{Y} = \texttt{AttEncoder}^{Y}(S^{Y}, \mathbf{G}^{Y}), \\ \boldsymbol{H} &= \texttt{AttEncoder}(S, \mathbf{G}), \end{split} \tag{4}$$

 $H^X \in \mathbb{R}^{|S| \times d}, H^Y \in \mathbb{R}^{|S| \times d}, H \in \mathbb{R}^{|S| \times d}$ 



Single-Domain Item Prediction.

$$\mathcal{L}_{\text{single}}^{X} = \sum_{S^{X} \in \mathcal{S}} \sum_{t} \mathcal{L}_{\text{single}}^{X} (S^{X}, t)$$

$$\mathcal{L}_{\text{single}}^{X} (S^{X}, t) = -\log P_{\text{single}}^{X} (x_{t+1} | [ < \text{pad}, x_{1}, x_{2}, < \text{pad}, \dots, x_{t} ] ),$$

$$P_{\text{single}}^{X} (x_{t+1} | [\dots, x_{t}]) = \text{Softmax} (\boldsymbol{h}_{t}^{X} \mathbf{W}^{X} + \boldsymbol{h}_{t} \mathbf{W}^{X})_{x_{t+1}}$$
(6)

$$\boldsymbol{h}_t^X \in \mathbb{R}^{1 \times d}, \boldsymbol{h}_t \in \mathbb{R}^{1 \times d}$$
  $\mathbf{W}^X \in \mathbb{R}^{d \times |\mathcal{X}|}$ 

Cross-Domain Item Prediction.

$$\mathcal{L}_{cross} = \sum_{S \in S} \sum_{t} \mathcal{L}_{cross}(S, t),$$

$$\mathcal{L}_{cross}(S, t) = \begin{cases} -\log P_{cross}^{X}(x_{t+1} | [y_{1}, x_{1}, x_{2}, \dots, x_{t}]), & (7) \\ -\log P_{cross}^{Y}(y_{t+1} | [y_{1}, x_{1}, x_{2}, \dots, x_{t}]), & (7) \end{cases}$$

$$P_{cross}^{X}(x_{t+1} | [y_{1}, x_{1}, x_{2}, \dots, x_{t}]) = \text{Softmax}(h_{t} \mathbf{W}^{X})_{x_{t+1}},$$

$$P_{cross}^{Y}(y_{t+1} | [y_{1}, x_{1}, x_{2}, \dots, x_{t}]) = \text{Softmax}(h_{t} \mathbf{W}^{Y})_{y_{t+1}},$$
(8)

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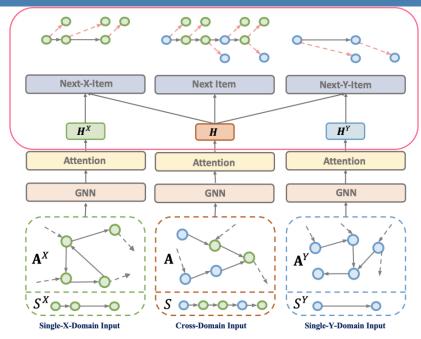


Figure 3: A toy example of sequential training objective for CDSR. The red dotted lines indicate the next prediction item.



Single- and Cross- Domain Prototype Representations.

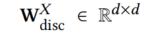
$$\boldsymbol{o}_{\text{single}}^{X} = \text{Mean}(\boldsymbol{H}^{X}), \qquad \boldsymbol{o}_{\text{single}}^{Y} = \text{Mean}(\boldsymbol{H}^{Y}), \qquad (9)$$
$$\boldsymbol{o}_{\text{single}}^{X} \in \mathbb{R}^{1 \times d} \text{ and } \boldsymbol{o}_{\text{single}}^{Y} \in \mathbb{R}^{1 \times d}$$
$$\boldsymbol{o}_{\text{cross}}^{X} = \text{Mean}(\{\boldsymbol{h}_{t}: S_{t} \in \mathcal{X}\}), \quad \boldsymbol{o}_{\text{cross}}^{Y} = \text{Mean}(\{\boldsymbol{h}_{t}: S_{t} \in \mathcal{Y}\}), \qquad (10)$$

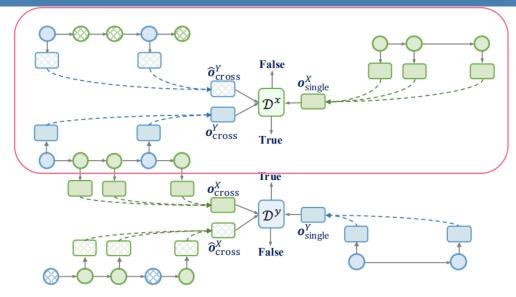
$$\widehat{S}^{X} = \operatorname{Corrupt}^{X}(S) = [\widehat{y}_{1}, x_{1}, x_{2}, \widehat{y}_{2}, \dots],$$

$$\widehat{S}^{Y} = \operatorname{Corrupt}^{Y}(S) = [y_{1}, \widehat{x}_{1}, \widehat{x}_{2}, y_{2}, \dots],$$
(11)

$$\mathcal{L}_{\text{disc}}^{X} = \sum_{(S^{X}, S^{Y}, S)_{u} \in \mathcal{S}} - \left( \log \mathcal{D}^{X} (\boldsymbol{o}_{\text{single}}^{X}, \boldsymbol{o}_{\text{cross}}^{Y}) + \log \left( 1 - \mathcal{D}^{X} (\boldsymbol{o}_{\text{single}}^{X}, \widehat{\boldsymbol{o}}_{\text{cross}}^{Y}) \right) \right)$$
(12)

$$\mathcal{D}^{X}(\boldsymbol{o}_{\text{single}}^{X}, \boldsymbol{o}_{\text{cross}}^{Y}) = \sigma(\boldsymbol{o}_{\text{single}}^{X} \mathbf{W}_{\text{disc}}^{X}(\boldsymbol{o}_{\text{cross}}^{Y})^{\top}), \quad (13)$$





$$\mathcal{L} = \underbrace{\lambda(\mathcal{L}_{cross} + \mathcal{L}_{single}^{X} + \mathcal{L}_{single}^{Y})}_{\text{Sequential training objective}} + \underbrace{(1 - \lambda)(\mathcal{L}_{disc}^{X} + \mathcal{L}_{disc}^{Y})}_{\text{Contrastive infomax objective}}$$
(14)

$$\operatorname{argmax}_{x_i \in \mathcal{X}} \mathbb{P}^X \left( x_i | S^X, S^Y, S \right), \quad \text{where}$$

$$\mathbb{P}^X \left( x_i | S^X, S^Y, S \right) = \operatorname{Softmax} \left( \boldsymbol{h}_{|S|}^X \mathbf{W}^X + \boldsymbol{h}_{|S|} \mathbf{W}^X \right)_{x_i}.$$
(15)



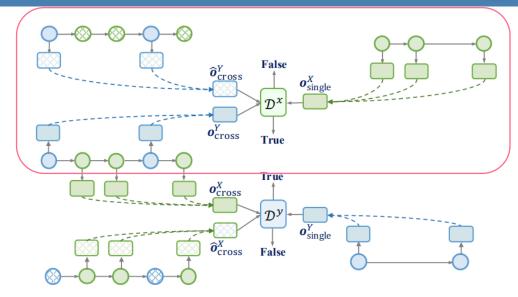
 $\mathcal{L}$ 

$$= \underbrace{\lambda(\mathcal{L}_{cross} + \mathcal{L}_{single}^{X} + \mathcal{L}_{single}^{Y})}_{Sequential training objective} + \underbrace{(1 - \lambda)(\mathcal{L}_{disc}^{X} + \mathcal{L}_{disc}^{Y})}_{Contrastive infomax objective}$$
(14)

In the evaluation stage

$$\operatorname{argmax}_{x_i \in \mathcal{X}} \mathbb{P}^X \left( x_i | S^X, S^Y, S \right), \quad \text{where}$$

$$\mathbb{P}^X \left( x_i | S^X, S^Y, S \right) = \operatorname{Softmax} \left( \boldsymbol{h}_{|S|}^X \mathbf{W}^X + \boldsymbol{h}_{|S|} \mathbf{W}^X \right)_{x_i}.$$
(15)





$$o_{\text{single}}^X = \text{Mean}(H^X), \qquad o_{\text{single}}^Y = \text{Mean}(H^Y),$$
(9)

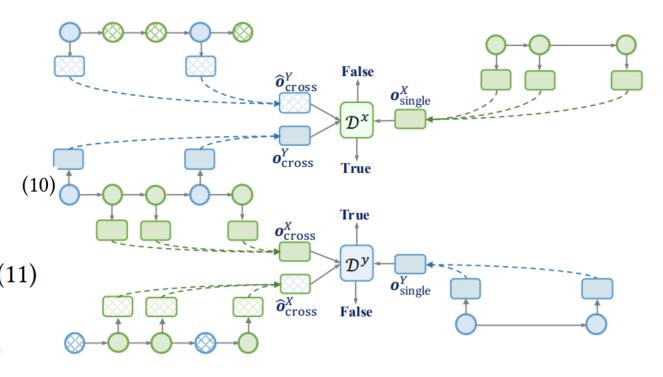
$$o_{\text{cross}}^X = \text{Mean}(\{h_t : S_t \in \mathcal{X}\}), \quad o_{\text{cross}}^Y = \text{Mean}(\{h_t : S_t \in \mathcal{Y}\}),$$

$$\widehat{S}^{X} = \text{Corrupt}^{X}(S) = [\widehat{y}_{1}, x_{1}, x_{2}, \widehat{y}_{2}, \dots],$$

$$\widehat{S}^{Y} = \text{Corrupt}^{Y}(S) = [y_{1}, \widehat{x}_{1}, \widehat{x}_{2}, y_{2}, \dots],$$

$$\mathcal{L}_{\text{disc}}^{X} = \sum_{(S^{X}, S^{Y}, S)_{u} \in \mathcal{S}} - \left(\log \mathcal{D}^{X}(o_{\text{single}}^{X}, o_{\text{cross}}^{Y}) + \log \left(1 - \mathcal{D}^{X}(o_{\text{single}}^{X}, \widehat{o}_{\text{cross}}^{Y})\right)\right)$$

$$\mathcal{D}^{X}(\boldsymbol{o}_{\text{single}}^{X}, \boldsymbol{o}_{\text{cross}}^{Y}) = \sigma \big(\boldsymbol{o}_{\text{single}}^{X} \mathbf{W}_{\text{disc}}^{X}(\boldsymbol{o}_{\text{cross}}^{Y})^{\top}\big),$$

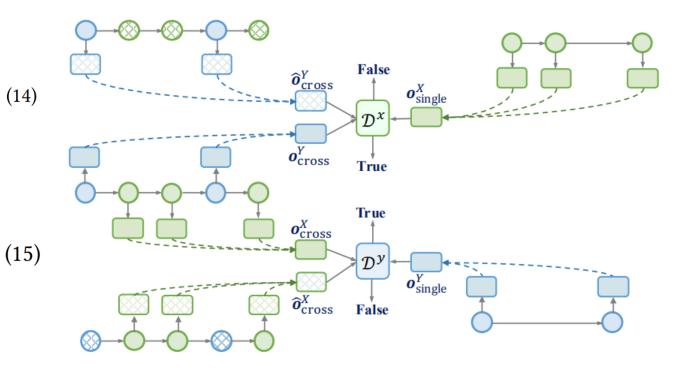




 $\mathcal{L}$ 

$$= \underbrace{\lambda(\mathcal{L}_{cross} + \mathcal{L}_{single}^{X} + \mathcal{L}_{single}^{Y})}_{\text{Sequential training objective}} + \underbrace{(1 - \lambda)(\mathcal{L}_{disc}^{X} + \mathcal{L}_{disc}^{Y})}_{\text{Contrastive infomax objective}}$$

$$\underset{\mathbf{P}^{X}(x_{i}|S^{X}, S^{Y}, S) = \mathsf{Softmax} \left( \boldsymbol{h}_{|S|}^{X} \mathbf{W}^{X} + \boldsymbol{h}_{|S|} \mathbf{W}^{X} \right)_{x_{i}}.$$







#### Table 1: Statistics of Three CDSR scenarios.

#Items	#Train	#Valid	#Test	Avg.length
29,207 34,886	34,117	2,722 5,451	2,747 5,659	9.91
36,845 63,937	58,515	2,032 5,612	1,978 5,730	11.98
8,367 11,404	120,635	4,525 2,404	4,485 2,300	29.94
	29,207 34,886 36,845 63,937 8,367	29,207 34,886 36,845 63,937 58,515 8,367 120,635	$\begin{array}{cccc} 29,207 \\ 34,886 \\ & 34,117 \\ 36,845 \\ 63,937 \\ & 58,515 \\ & 5,612 \\ \hline \\ 8,367 \\ & 120,635 \\ \end{array}$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$



## **Experiments**

Methods		Kitchen-domain recommendation										
	MRR	NDCG		HR		MRR	NDCG		HR			
		@5	@10	@1	@5	@10		@5	@10	@1	@5	@10
BPRMF ItemKNN	4.10 3.92	3.55 3.51	4.03 3.97	2.42 2.41	4.51 4.59	5.95 5.98	2.01 1.89	1.45 1.28	1.85 1.75	0.73 0.58	2.18 1.99	3.43 3.26
NCF-MLP CoNet	4.49 4.13	3.94 3.61	4.51 4.14	2.68 2.42	5.10 4.77	6.86 6.35	2.18 2.17	1.57 1.50	2.03 2.11	0.91 0.95	2.23 2.07	3.65 3.71
GRU4Rec SASRec SR-GNN	5.79 7.30 7.84	5.48 6.90 7.58	6.13 7.79 8.35	3.63 4.73 5.03	7.12 8.92 9.88	9.11 11.68 12.27	3.06 3.79 4.01	2.55 3.35 3.47	3.10 3.93 4.13	1.61 1.92 2.07	3.50 4.78 4.80	5.22 6.62 6.84
π-Net PSJNet MIFN	7.68 8.33 <u>8.55</u>	7.32 8.07 <u>8.28</u>	8.13 8.77 <u>9.01</u>	5.25 5.73 <u>6.02</u>	9.25 10.28 <u>10.43</u>	11.75 12.45 <u>12.71</u>	3.53 4.10 4.09	2.98 <u>3.68</u> 3.57	$     3.73 \\     4.32 \\     4.29     $	1.57 2.14 <u>2.21</u>	$     4.34 \\     5.17 \\     4.86 $	6.67 <u>7.15</u> 7.08
C <sup>2</sup> DSR	8.91	8.65	9.71	5.84	11.24	14.54	4.65	4.16	4.94	2.51	5.74	8.18

#### Table 2: Experimental results (%) on the Food-Kitchen scenario.





#### Movie-domain recommendation **Book-domain recommendation** Methods NDCG HR NDCG HR MRR MRR @5 @10 @1 @5 @10 @5 @10 @1 @5 @10 BPRMF 2.96 2.18 2.801.27 2.25 1.41 3.03 4.95 0.85 1.17 0.48 1.23ItemKNN 2.92 2.17 2.881.26 3.13 5.35 1.26 0.86 1.10 0.48 1.25 2.00NCF-MLP 3.05 2.262.96 1.41 3.13 5.30 1.43 1.06 1.26 0.62 1.39 2.18 CoNet 3.07 2.423.01 1.31 3.48 5.35 1.45 1.04 1.28 0.64 1.44 2.19 GRU4Rec 3.83 3.14 3.73 2.273.39 5.40 1.68 1.34 1.52 0.91 1.81 2.37SASRec 3.79 3.23 3.69 2.37 3.99 1.81 1.41 1.71 0.95 2.75 5.20 1.83 SR-GNN 3.85 3.27 3.78 2.224.19 5.81 1.78 1.40 1.66 0.89 1.90 2.72 $\pi$ -Net 4.17 2.25 2.84 4.16 3.72 2.524.75 6.11 2.171.84 2.03 1.43 PSJNet 5.30 4.63 4.06 4.76 2.787.53 2.442.07 2.35 2.58<u>3.28</u> 1.66 3.07 MIFN 2.31 1.60 2.46 5.05 4.215.20 2.83 5.51 <u>8.29</u> 2.51 2.12 C<sup>2</sup>DSR 5.54 4.76 5.76 3.13 6.47 9.55 2.552.17 2.45 1.71 2.843.75

#### Table 3: Experimental results (%) on the Movie-Book scenario.





#### Table 4: Experimental results (%) on the Entertainment-Education scenario.

Methods MRI	<b>Entertainment-domain recommendation</b>							Education-domain recommendation					
	MRR	NDCG		HR			MRR	NDCG		HR			
	1011CC	@5	@10	@1	@5	@10	mut	@5	@10	@1	@5	@10	
BPRMF	45.97	47.38	50.11	35.98	57.65	66.08	46.50	47.51	49.27	38.69	54.86	60.26	
ItemKNN	47.81	49.24	52.01	37.91	59.44	67.93	46.22	47.23	49.22	38.08	54.86	61.04	
NCF-MLP	44.94	47.57	50.61	31.66	61.73	71.08	46.24	48.73	50.66	35.17	60.56	66.43	
CoNet	45.76	48.31	51.63	32.30	62.45	72.68	47.83	50.11	52.22	36.60	61.65	68.04	
GRU4Rec	45.61	47.48	51.46	32.73	61.06	73.35	51.35	53.88	56.21	39.95	66.13	73.21	
SASRec	50.44	52.67	56.10	37.05	66.39	76.92	53.69	55.87	58.64	41.86	68.04	76.56	
SR-GNN	50.67	52.77	56.47	37.43	66.30	77.17	54.74	56.73	59.69	43.08	68.43	77.47	
$\pi$ -Net	52.68	54.88	57.63	40.91	67.15	75.11	55.05	57.23	59.32	44.26	68.21	74.65	
PSJNet	53.50	57.57	60.07	42.52	68.94	76.56	55.94	58.18	<u>60.15</u>	45.21	<u>69.01</u>	75.08	
C <sup>2</sup> DSR	53.87	56.20	59.35	40.89	69.45	79.08	56.72	59.05	61.56	45.13	71.04	79.21	



### Experiments

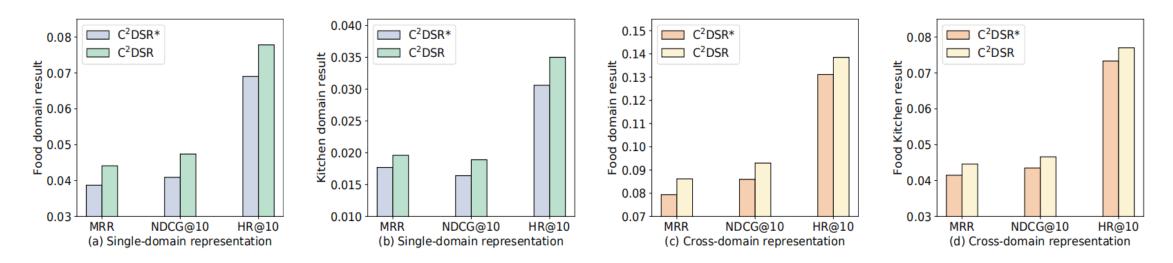


Figure 5: The predictive results of single-domain and cross-domain representations on Food-Kitchen.





Model Variants		Food-doma	in	Kitchen-domain			
	MRR	NDCG@10	HR@10	MRR	NDCG@10	HR@10	
GRU4Rec	5.79	6.13	9.11	3.06	3.10	5.22	
GRU4Rec++	6.92	7.18	10.10	3.21	3.28	5.63	
C <sup>2</sup> DSR(GRU4Rec)*	6.83	7.26	10.72	3.57	3.68	6.10	
C <sup>2</sup> DSR(GRU4Rec)	7.68	8.02	11.60	3.92	4.02	6.54	
SASRec	7.30	7.79	11.68	3.79	3.93	6.62	
SASRec++	8.09	8.70	13.11	4.20	4.45	7.55	
C <sup>2</sup> DSR*	8.73	9.36	13.66	4.41	4.55	7.70	
$C^2DSR$	8.91	9.71	14.54	4.65	4.94	8.18	

#### Table 5: Variants results on Food-Kitchen.



## Experiments

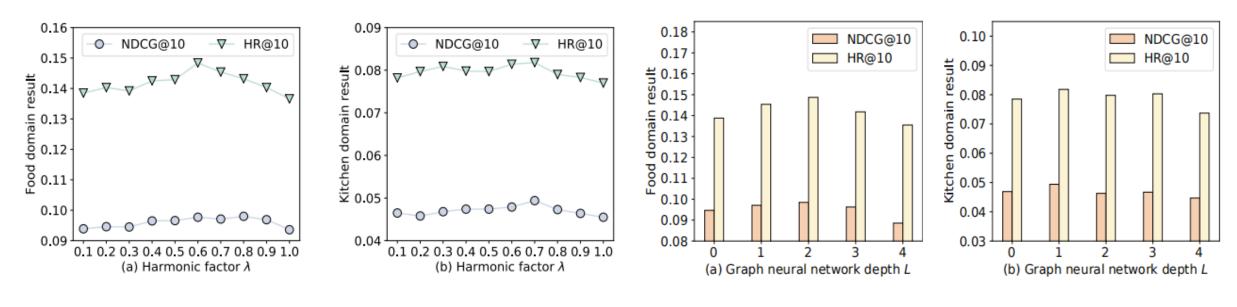


Figure 6: Result of harmonic factor  $\lambda$ .

Figure 7: Impact of GNN depth L.



# Thank you!